

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. § 371

09/194164

U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.5): Unassigned

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/US97/08972	22 May 1997	22 May 1996

TITLE OF INVENTION: ANTIGEN BINDING FRAGMENTS THAT SPECIFICALLY DETECT CANCER CELLS,
NUCLEOTIDES ENCODING THE FRAGMENTS, AND USE THEREOF FOR THE
PROPHYLASIX AND DETECTION OF CANCERS

APPLICANT(S) FOR DO/EO/US: Michael D. DAN, Pradip K. MAITI and Howard A. KAPLAN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US)
6. A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)) (unsigned).
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

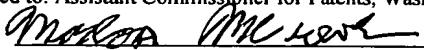
Items 11. to 16. below concern document(s) or information included:

11. An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 is included.
13. A FIRST preliminary amendment.
 - a. A SECOND or SUBSEQUENT preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
16. Other items or information: Return receipt postcard.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EG327709539US Date of Deposit: November 20, 1998

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.


 Marlon McKeever

U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.492(a)(1)-(5))		Unassigned	INTERNATIONAL APPLICATION NO. PCT/US97/08962	DOCKET NUMBER: 316082000121
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 C.F.R. §§ 1.492(a)(1)-(5)):</p> <p>Search Report has been prepared by the EPO or JPO \$930.00</p> <p>International preliminary examination fee paid to (USPTO (37 C.F.R. § 1.482)) \$720.00</p> <p>No international preliminary examination fee paid to USPTO (37 C.F.R. § 1.482) but international search fee paid to USPTO (37 C.F.R. § 1.445(a)(2)) \$790.00</p> <p>Neither international preliminary examination fee (37 C.F.R. § 1.482) nor international search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO \$1,070.00</p> <p>International preliminary examination fee paid to USPTO (37 C.F.R. § 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$98.00</p>				CALCULATIONS PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1070.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(e)).				\$0
<input checked="" type="checkbox"/> CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
<input checked="" type="checkbox"/> Total claims	50 - 20 =	30	x \$22.00	\$660.00
<input checked="" type="checkbox"/> Independent claims	7 - 3 =	4	x \$82.00	\$328.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00
TOTAL OF ABOVE CALCULATIONS =				\$2058.00
<input checked="" type="checkbox"/> Reduction by ½ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				\$0
SUBTOTAL =				\$2058.00
<input checked="" type="checkbox"/> Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).		+ \$0		
TOTAL NATIONAL FEE =				\$2058.00
<input checked="" type="checkbox"/> Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property		+ \$0		
TOTAL FEES ENCLOSED =				\$2058.00
				Amount to be refunded: charged: \$0
a. <input checked="" type="checkbox"/> A check in the amount of \$2058.00 to cover the above fees is enclosed.				
b. <input type="checkbox"/> Please charge my <u>Deposit Account No. 03-1952</u> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to <u>Deposit Account No. 03-1952</u> .				
NOTE: Where an appropriate time limit under 37 C.F.R. § 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO:				
Susan K. Lehnhardt Morrison & Foerster LLP 755 Page Mill Road Palo Alto, California 94304-1018		 SIGNATURE Susan K. Lehnhardt <u>Registration No. 33,943</u>		